

Assessing Fitness and Propriety of directors, substantive shareholders, ultimate beneficial owners and management of the Money Changers

AFFIDAVIT

Information to be submitted in terms of the criteria approved by the Central Bank of Sri Lanka applicable for the Money Changers

Name of the Company :

Permit No (Applicable for existing companies):

I, (*full name*)

holder of National Identity Card No./ Passport No.....of

..... (*address*)

being a [Buddhist/ Hindu/ Muslim/ Christian/ Catholic/ other..... (*please specify*)] do hereby [solemnly, sincerely and truly declare and affirm /make oath and state (*please specify as appropriate*)] as follows:

1. I am the [affirmant/deponent] above named and I am(*designation*) of (*name of the company*).
2. I state that I possess the following academic and/or professional qualification/s:

Qualifications (Academic)	Relevant discipline	Country	Name of the institution	Year of Completion
(i)				
(ii)				
Qualifications (Professional)				
(i)				
(ii)				

3. I state that the effective experience that I possess in banking, finance, business or administration or of any other relevant discipline is as follows:

.....
.....

4. I state that I am not being subjected to an investigation or inquiry involving any offence/non-compliance under the Penal Code or any other law, conducted by the police, any regulatory or supervisory authority, professional association, Commission of Inquiry, tribunal or other body established by law, in Sri Lanka or abroad;

5. I state that there is no finding by any court of law, regulatory or supervisory authority, professional association, Commission of Inquiry, tribunal or other body established by law in Sri Lanka or abroad, to the effect that I have committed or have been connected with the commission of, any offence under the Penal Code or any other law, improper conduct or non-compliances with provisions of any statute or rules, regulations, directions or determinations issued thereunder;

6. I state that I have not been convicted by any Court in Sri Lanka or abroad in respect of an offence under the Penal Code or any other law, Money Laundering/Terrorist Financing or criminal activity;

7. I state that I have not been declared insolvent or declared bankruptcy in Sri Lanka or abroad;

8. I state that I have not failed to satisfy any judgment or order of any Court whether in Sri Lanka or abroad, or to repay a debt;

9. I state that I have not been declared by a Court of competent jurisdiction in Sri Lanka or abroad, to be of unsound mind;

10. I state that I have not been removed or suspended by a regulatory or supervisory authority from serving as a director, chief executive officer or other officer or an employee in any licensed bank (licensed commercial bank and/or licensed specialized bank), finance company or a corporate body in Sri Lanka or abroad;

11. I state that I have not been a director, chief executive officer or held any other position of authority in any licensed bank (licensed commercial bank and/or licensed specialized bank), finance company or any other financial institution established by law;

- i. whose license or business has been cancelled or suspended on grounds of regulatory concerns; or
- ii. which has been wound up or is being wound up, or which is being compulsorily liquidated; whether in Sri Lanka or abroad.

12. I state that I am aware of the criteria on assessment of fitness and propriety of my position and confirm that the above information is to the best of my knowledge and belief true and complete. I undertake to keep the Central Bank fully informed, as soon as possible, of all subsequent events, which are relevant to the information provided above.

13. I state that to the best of my knowledge I am a fit and proper person to be appointed as (*designation*) of (*name of the company*), in terms of the criteria approved by the Central Bank of Sri Lanka, applicable for the Money Changers.

The averments contained herein were read over to the [affirmant / deponent] who having understood the contents hereof and having accepted same as true, affirmed / swore to and placed his / her signature at

..... on
 this
day of



*Affix Stamps as
 applicable*

Before me

**JUSTICE OF THE PEACE/
 COMMISSIONER FOR OATHS**